

# Proof Theory and Automated Theorem Proving

## Exercises

### Week 2

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## 1 Terms, Formulas, and Free and Bound Variables

For a signature  $S$  with constants  $\mathcal{C}_S$ , functions  $\mathcal{F}_S$  and relations  $\mathcal{R}_S$ , the set  $\text{TERM}(S)$  of  $S$ -terms is the least class  $T$  such that

- if  $x$  is a variable, then  $x \in T$ ,
- if  $c \in \mathcal{C}_S$ , then  $c \in T$ ,
- if  $f \in \mathcal{F}_S$  is  $n$ -ary and  $t_1, \dots, t_n \in T$ , then  $f(t_1, \dots, t_n) \in T$ .

The set  $\text{FORM}(S)$  of  $S$ -formulas is the least class  $\Sigma$  such that

- $\perp \in \Sigma$ ,
- if  $R \in \mathcal{R}_S$  is  $n$ -ary and  $t_1, \dots, t_n \in \text{TERM}(S)$ , then  $R(t_1, \dots, t_n) \in \Sigma$ ,
- if  $\varphi, \psi \in \Sigma$ , then  $(\varphi \rightarrow \psi) \in \Sigma$ ,  $(\varphi \wedge \psi) \in \Sigma$  and  $(\varphi \vee \psi) \in \Sigma$ ,
- if  $x$  is a variable and  $\varphi \in \Sigma$  then  $\forall x \varphi \in \Sigma$  and  $\exists x \varphi \in \Sigma$ .

For a term  $t$  the set  $\text{FV}(t)$  is defined inductively by

- $\text{FV}(x) = \{x\}$  for a variable  $x$ ,
- $\text{FV}(f(t_1, \dots, t_n)) = \bigcup_{1 \leq i \leq n} \text{FV}(t_i)$ , when  $f \in \mathcal{F}_S$  is  $n$ -ary and  $t_1, \dots, t_n \in \text{TERM}(S)$ ,
- $\text{FV}(c) = \emptyset$  for a constant  $c \in \mathcal{C}_S$ ,

For a formula  $\varphi$ , the set  $\text{FV}(\varphi)$  of free variables is defined inductively by

- $\text{FV}(\perp) = \emptyset$ ,
- $\text{FV}(R(t_1, \dots, t_n)) = \bigcup_{1 \leq i \leq n} \text{FV}(t_i)$ , where  $R \in \mathcal{R}_S$  is  $n$ -ary and  $t_1, \dots, t_n \in \text{TERM}(S)$ ,
- if  $\varphi = \psi \rightarrow \chi$ ,  $\varphi = \psi \vee \chi$  or  $\varphi = \psi \wedge \chi$  for  $\psi, \chi \in \text{FORM}(S)$ , then  $\text{FV}(\varphi) = \text{FV}(\psi) \cup \text{FV}(\chi)$ ,
- if  $\varphi = \forall x \psi$  or  $\varphi = \exists x \psi$ , where  $\psi \in \text{FORM}(S)$  and  $x$  is a variable, then  $\text{FV}(\varphi) = \text{FV}(\psi) \setminus \{x\}$ .

Similarly, the set  $\text{BV}(\varphi)$  of bound variables is defined inductively by

- $\text{BV}(\perp) = \emptyset$ ,

- $BV(R(t_1, \dots, t_n)) = \emptyset$ , where  $R \in \mathcal{R}_S$  is  $n$ -ary and  $t_1, \dots, t_n \in \text{TERM}(S)$ ,
- if  $\varphi = \psi \rightarrow \chi$ ,  $\varphi = \psi \vee \chi$  or  $\varphi = \psi \wedge \chi$  for  $\psi, \chi \in \text{FORM}(S)$ , then  $BV(\varphi) = BV(\psi) \cup BV(\chi)$ ,
- if  $\varphi = \forall x\psi$  or  $\varphi = \exists x\psi$ , where  $\psi \in \text{FORM}(S)$  and  $x$  is a variable, then  $BV(\varphi) = BV(\psi) \cup \{x\}$ .

A variable  $x$  is free or bound in a formula  $\varphi$  if  $x \in \text{FV}(\varphi)$  or  $x \in \text{BV}(\varphi)$ , respectively. Similarly,  $x$  is free in a term  $t$  if  $x \in \text{FV}(T)$ .

For a term  $t$  and a variable  $x$ ,  $t$  is free for  $x$  in  $\varphi$  is defined inductively on formulas by

- If  $\varphi = \perp$ , then  $t$  is free for  $x$  in  $\varphi$ .
- If  $\varphi = R(t_1, \dots, t_n)$  for an  $n$ -ary relation  $R$  and terms  $t_1, \dots, t_n$ , then  $t$  is free for  $x$  in  $\varphi$ .
- If  $\varphi = \psi \rightarrow \chi$ ,  $\varphi = \psi \vee \chi$  or  $\varphi = \psi \wedge \chi$  for  $\psi, \chi \in \text{FORM}(S)$ , then  $t$  is free for  $x$  in  $\varphi$  if and only if  $t$  is free for  $x$  in  $\psi$  and  $t$  is free for  $x$  in  $\chi$ .
- If  $\varphi = \forall y\psi$ , then  $t$  is free for  $x$  in  $\varphi$  if and only if the following conditions hold
  - $x \neq y$ .
  - $y \notin \text{FV}(t)$
  - $t$  is free for  $x$  in  $\psi$ .

## 2 Predicate Logic and Natural Deduction

$$\frac{\frac{\frac{[\forall x\varphi(x)]^2}{\varphi(x)} \forall E \quad \frac{[\forall x\neg\varphi(x)]^1}{\neg\varphi(x)} \forall E}{\perp} \rightarrow E}{\neg\forall x\neg\varphi(x)} \rightarrow I, 1}{\forall x\varphi(x) \rightarrow \neg\forall x\neg\varphi(x)} \rightarrow I, 2$$