

Provability Logics and Applications

Day 4

The closed fragment

David Fernández Duque¹ and Joost J. Joosten²

1: Universidad de Sevilla;

2: Universitat de Barcelona

Wednesday 13-08-2012

ESSLLI Tutorial, Opole

Lemma

1. *For worms A and B , $GLP \vdash AB \rightarrow A$*

Lemma

1. For worms A and B , $GLP \vdash AB \rightarrow A$
2. For worms A and B , if $\beta < \alpha$, then
 $GLP \vdash (\langle \alpha \rangle A \wedge \langle \beta \rangle B) \leftrightarrow \langle \alpha \rangle (A \wedge \langle \beta \rangle B)$;

Lemma

1. For worms A and B , $\text{GLP} \vdash AB \rightarrow A$
2. For worms A and B , if $\beta < \alpha$, then
 $\text{GLP} \vdash (\langle \alpha \rangle A \wedge \langle \beta \rangle B) \leftrightarrow \langle \alpha \rangle (A \wedge \langle \beta \rangle B)$;
3. If $A \in \text{Worm}_{\alpha+1}$, then $\text{GLP} \vdash A \wedge \langle \alpha \rangle B \leftrightarrow A\alpha B$;

- ▶ 'The axiom $\langle \alpha \rangle \psi \rightarrow [\beta] \langle \alpha \rangle \psi$ for $\alpha < \beta$, implies the existence of many smaller worms':

- ▶ 'The axiom $\langle \alpha \rangle \psi \rightarrow [\beta] \langle \alpha \rangle \psi$ for $\alpha < \beta$, implies the existence of many smaller worms':
- ▶ $\text{GLP} \vdash \langle 1 \rangle \top \rightarrow \langle 0 \rangle^n \top$ for any $n \in \omega$

- ▶ 'The axiom $\langle \alpha \rangle \psi \rightarrow [\beta] \langle \alpha \rangle \psi$ for $\alpha < \beta$, implies the existence of many smaller worms':
- ▶ $\text{GLP} \vdash \langle 1 \rangle \top \rightarrow \langle 0 \rangle^n \top$ for any $n \in \omega$
- ▶ But also $\text{GLP} \vdash \langle 1 \rangle \langle 0 \rangle \langle 1 \rangle \top \rightarrow \langle 0 \rangle \langle 1 \rangle \top$

- ▶ 'The axiom $\langle \alpha \rangle \psi \rightarrow [\beta] \langle \alpha \rangle \psi$ for $\alpha < \beta$, implies the existence of many smaller worms':
- ▶ $\text{GLP} \vdash \langle 1 \rangle \top \rightarrow \langle 0 \rangle^n \top$ for any $n \in \omega$
- ▶ But also $\text{GLP} \vdash \langle 1 \rangle \langle 0 \rangle \langle 1 \rangle \top \rightarrow \langle 0 \rangle \langle 1 \rangle \top$
- ▶ We will order the worms based on these sort of implications

Definition ($<$, $<_\alpha$)

We define a relation $<_\alpha$ on $\text{Worm}_\alpha \times \text{Worm}_\alpha$ by

$$A <_\alpha B \quad :\Leftrightarrow \quad \text{GLP} \vdash B \rightarrow \langle \alpha \rangle A \quad (\text{with } A, B \in \text{Worm}_\alpha).$$

Definition ($<$, $<_\alpha$)

We define a relation $<_\alpha$ on $\text{Worm}_\alpha \times \text{Worm}_\alpha$ by

$$A <_\alpha B \quad :\Leftrightarrow \quad \text{GLP} \vdash B \rightarrow \langle \alpha \rangle A \quad (\text{with } A, B \in \text{Worm}_\alpha).$$

Instead of $<_0$ we shall often write $<$.

- ▶ For $A, B \in \text{Worm}_\alpha$, $A <_\alpha B$ implies $A < B$

- ▶ For $A, B \in \text{Worm}_\alpha$, $A <_\alpha B$ implies $A < B$
- ▶ **Lemma:** $<_\alpha$ is transitive on $\text{Worm}_\alpha \times \text{Worm}_\alpha$

- ▶ For $A, B \in \text{Worm}_\alpha$, $A <_\alpha B$ implies $A < B$
- ▶ **Lemma:** $<_\alpha$ is transitive on $\text{Worm}_\alpha \times \text{Worm}_\alpha$
- ▶ Proven by the 4-axiom

- ▶ For $A, B \in \text{Worm}_\alpha$, $A <_\alpha B$ implies $A < B$
- ▶ **Lemma:** $<_\alpha$ is transitive on $\text{Worm}_\alpha \times \text{Worm}_\alpha$
- ▶ Proven by the 4-axiom
- ▶ **Lemma:** for each number n the ordering $<_n$ is irreflexive on $\text{Worm}^\omega \times \text{Worm}^\omega$

- ▶ For $A, B \in \text{Worm}_\alpha$, $A <_\alpha B$ implies $A < B$
- ▶ **Lemma:** $<_\alpha$ is transitive on $\text{Worm}_\alpha \times \text{Worm}_\alpha$
- ▶ Proven by the 4-axiom
- ▶ **Lemma:** for each number n the ordering $<_n$ is irreflexive on $\text{Worm}^\omega \times \text{Worm}^\omega$
- ▶ Here, Worm^ω denotes those worms that live in GLP_ω

- ▶ For $A, B \in \text{Worm}_\alpha$, $A <_\alpha B$ implies $A < B$
- ▶ **Lemma:** $<_\alpha$ is transitive on $\text{Worm}_\alpha \times \text{Worm}_\alpha$
- ▶ Proven by the 4-axiom
- ▶ **Lemma:** for each number n the ordering $<_n$ is irreflexive on $\text{Worm}^\omega \times \text{Worm}^\omega$
- ▶ Here, Worm^ω denotes those worms that live in GLP_ω
- ▶ **Proof:** If $\text{GLP}_\omega \vdash A \rightarrow \langle n \rangle A$, then $\text{EA} \vdash [n]_{\text{EA}} \neg A \rightarrow \neg A$

- ▶ For $A, B \in \text{Worm}_\alpha$, $A <_\alpha B$ implies $A < B$
- ▶ **Lemma:** $<_\alpha$ is transitive on $\text{Worm}_\alpha \times \text{Worm}_\alpha$
- ▶ Proven by the 4-axiom
- ▶ **Lemma:** for each number n the ordering $<_n$ is irreflexive on $\text{Worm}^\omega \times \text{Worm}^\omega$
- ▶ Here, Worm^ω denotes those worms that live in GLP_ω
- ▶ **Proof:** If $\text{GLP}_\omega \vdash A \rightarrow \langle n \rangle A$, then $\text{EA} \vdash [n]_{\text{EA}} \neg A \rightarrow \neg A$
- ▶ Thus Löb would yield a false statement

- ▶ For $A, B \in \text{Worm}_\alpha$, $A <_\alpha B$ implies $A < B$
- ▶ **Lemma:** $<_\alpha$ is transitive on $\text{Worm}_\alpha \times \text{Worm}_\alpha$
- ▶ Proven by the 4-axiom
- ▶ **Lemma:** for each number n the ordering $<_n$ is irreflexive on $\text{Worm}^\omega \times \text{Worm}^\omega$
- ▶ Here, Worm^ω denotes those worms that live in GLP_ω
- ▶ **Proof:** If $\text{GLP}_\omega \vdash A \rightarrow \langle n \rangle A$, then $\text{EA} \vdash [n]_{\text{EA}} \neg A \rightarrow \neg A$
- ▶ Thus Löb would yield a false statement
- ▶ **Lemma:** $<_\alpha$ is irreflexive on $\text{Worm}_\alpha \times \text{Worm}_\alpha$ if $<_n$ is irreflexive on $\text{Worm}^\omega \times \text{Worm}^\omega$

Definition (Beklemishev Normal Form)

A worm $A \in S$ is in BNF (Beklemishev Normal Form) iff

Definition (Beklemishev Normal Form)

A worm $A \in S$ is in BNF (Beklemishev Normal Form) iff

1. $A = \lambda$ or,

Definition (Beklemishev Normal Form)

A worm $A \in S$ is in BNF (Beklemishev Normal Form) iff

1. $A = \lambda$ or,
2. A is of the form $A_k \alpha \dots \alpha A_1$ with $\alpha = \min(A)$, $k \geq 1$ and $A_i \in \text{Worm}_{\alpha+1}$ such that each A_i is in BNF and moreover $A_{i+1} \leq_{\alpha+1} A_i$ for each $i < k$.

Definition (Beklemishev Normal Form)

A worm $A \in S$ is in BNF (Beklemishev Normal Form) iff

1. $A = \lambda$ or,
2. A is of the form $A_k \alpha \dots \alpha A_1$ with $\alpha = \min(A)$, $k \geq 1$ and $A_i \in \text{Worm}_{\alpha+1}$ such that each A_i is in BNF and moreover $A_{i+1} \leq_{\alpha+1} A_i$ for each $i < k$.

Lemma

Each worm of the form α^n , i.e., $\overbrace{\langle \alpha \rangle \dots \langle \alpha \rangle}^{n \text{ times}} \top$, is in BNF.

Definition (Beklemishev Normal Form)

A worm $A \in S$ is in BNF (Beklemishev Normal Form) iff

1. $A = \lambda$ or,
2. A is of the form $A_k \alpha \dots \alpha A_1$ with $\alpha = \min(A)$, $k \geq 1$ and $A_i \in \text{Worm}_{\alpha+1}$ such that each A_i is in BNF and moreover $A_{i+1} \leq_{\alpha+1} A_i$ for each $i < k$.

Lemma

Each worm of the form α^n , i.e., $\overbrace{\langle \alpha \rangle \dots \langle \alpha \rangle}^{n \text{ times}} \top$, is in BNF.

Proof.

This is immediate if we conceive α^n as $\lambda \alpha \lambda \dots \lambda \alpha \lambda$. □

- **Lemma:** Let $A = A_k \alpha \dots A_1 \alpha A'$ be in BNF with $\alpha = \min(A)$, and each $A_i \in \text{Worm}_{\alpha+1}$. Moreover, let B be in BNF. We have that

$$A' <_{\alpha+1} B, \text{ then } A <_{\alpha+1} B.$$

- ▶ **Lemma:** Let $A = A_k \alpha \dots A_1 \alpha A'$ be in BNF with $\alpha = \min(A)$, and each $A_i \in \text{Worm}_{\alpha+1}$. Moreover, let B be in BNF. We have that

$$A' <_{\alpha+1} B, \text{ then } A <_{\alpha+1} B.$$

- ▶ **Proof:** By induction on k .

► **Theorem:** Let $A, B \in \text{Worm}_\alpha \cap \text{BNF}$. We have that

$$A = B, \text{ or } A <_\alpha B, \text{ or } B <_\alpha A.$$

- ▶ **Theorem:** Let $A, B \in \text{Worm}_\alpha \cap \text{BNF}$. We have that

$$A = B, \text{ or } A <_\alpha B, \text{ or } B <_\alpha A.$$

- ▶ **Proof:** By induction on the width (total number of different symbols) of AB .

- ▶ **Theorem:** Let $A, B \in \text{Worm}_\alpha \cap \text{BNF}$. We have that

$$A = B, \text{ or } A <_\alpha B, \text{ or } B <_\alpha A.$$

- ▶ **Proof:** By induction on the width (total number of different symbols) of AB .
- ▶ **Corollary:** $<_\alpha$ on Worm_α is the $<_{\alpha+1}$ -lexicographical (from right to left) ordering on strings over $\text{Worm}_{\alpha+1}$

- ▶ **Theorem:** Let $A, B \in \text{Worm}_\alpha \cap \text{BNF}$. We have that

$$A = B, \text{ or } A <_\alpha B, \text{ or } B <_\alpha A.$$

- ▶ **Proof:** By induction on the width (total number of different symbols) of AB .
- ▶ **Corollary:** $<_\alpha$ on Worm_α is the $<_{\alpha+1}$ -lexicographical (from right to left) ordering on strings over $\text{Worm}_{\alpha+1}$
- ▶ **Corollary:** BNFs are unique

- ▶ **Theorem:** Let $A, B \in \text{Worm}_\alpha \cap \text{BNF}$. We have that

$$A = B, \text{ or } A <_\alpha B, \text{ or } B <_\alpha A.$$

- ▶ **Proof:** By induction on the width (total number of different symbols) of AB .
- ▶ **Corollary:** $<_\alpha$ on Worm_α is the $<_{\alpha+1}$ -lexicographical (from right to left) ordering on strings over $\text{Worm}_{\alpha+1}$
- ▶ **Corollary:** BNFs are unique
- ▶ **Corollary:** For $A, B \in \text{Worm}_\alpha$ we have

$$A <_\alpha B \iff A <_0 B$$

Lemma

Let $A := A_1 \alpha A_0 \text{rest}$ with ($\text{rest} = \epsilon$ or $\text{rest} = \alpha A'$) and each of A_1, A_0 in $\text{Worm}_{\alpha+1}$.

If $\text{GLP} \vdash A_1 \rightarrow \langle \alpha + 1 \rangle A_0$, then $\text{GLP} \vdash A \leftrightarrow A_1 \text{rest}$.

Lemma

Let $A := A_1 \alpha A_0 \text{rest}$ with ($\text{rest} = \epsilon$ or $\text{rest} = \alpha A'$) and each of A_1, A_0 in $\text{Worm}_{\alpha+1}$.

If $\text{GLP} \vdash A_1 \rightarrow \langle \alpha + 1 \rangle A_0$, then $\text{GLP} \vdash A \leftrightarrow A_1 \text{rest}$.

Proof

Lemma

Let $A := A_1 \alpha A_0 \text{rest}$ with ($\text{rest} = \epsilon$ or $\text{rest} = \alpha A'$) and each of A_1, A_0 in $\text{Worm}_{\alpha+1}$.

If $\text{GLP} \vdash A_1 \rightarrow \langle \alpha + 1 \rangle A_0$, then $\text{GLP} \vdash A \leftrightarrow A_1 \text{rest}$.

Proof

$$\text{GLP} \vdash A \rightarrow A_1 \wedge \alpha A_0 \text{rest}$$

Lemma

Let $A := A_1 \alpha A_0 \text{rest}$ with ($\text{rest} = \epsilon$ or $\text{rest} = \alpha A'$) and each of A_1, A_0 in $\text{Worm}_{\alpha+1}$.

If $\text{GLP} \vdash A_1 \rightarrow \langle \alpha + 1 \rangle A_0$, then $\text{GLP} \vdash A \leftrightarrow A_1 \text{rest}$.

Proof

$$\begin{aligned} \text{GLP} \vdash A &\rightarrow A_1 \wedge \alpha A_0 \text{rest} \\ &\rightarrow A_1 \wedge \text{rest} \end{aligned}$$

Lemma

Let $A := A_1 \alpha A_0 \text{rest}$ with ($\text{rest} = \epsilon$ or $\text{rest} = \alpha A'$) and each of A_1, A_0 in $\text{Worm}_{\alpha+1}$.

If $\text{GLP} \vdash A_1 \rightarrow \langle \alpha + 1 \rangle A_0$, then $\text{GLP} \vdash A \leftrightarrow A_1 \text{rest}$.

Proof

$$\begin{aligned} \text{GLP} \vdash A &\rightarrow A_1 \wedge \alpha A_0 \text{rest} \\ &\rightarrow A_1 \wedge \text{rest} \\ &\rightarrow A_1 \text{rest}. \end{aligned}$$

Lemma

Let $A := A_1 \alpha A_0 \text{rest}$ with ($\text{rest} = \epsilon$ or $\text{rest} = \alpha A'$) and each of A_1, A_0 in $\text{Worm}_{\alpha+1}$.

If $\text{GLP} \vdash A_1 \rightarrow \langle \alpha + 1 \rangle A_0$, then $\text{GLP} \vdash A \leftrightarrow A_1 \text{rest}$.

Proof

$$\begin{aligned} \text{GLP} \vdash A &\rightarrow A_1 \wedge \alpha A_0 \text{rest} \\ &\rightarrow A_1 \wedge \text{rest} \\ &\rightarrow A_1 \text{rest}. \end{aligned}$$

Other direction:

Lemma

Let $A := A_1 \alpha A_0 \text{rest}$ with ($\text{rest} = \epsilon$ or $\text{rest} = \alpha A'$) and each of A_1, A_0 in $\text{Worm}_{\alpha+1}$.

If $\text{GLP} \vdash A_1 \rightarrow \langle \alpha + 1 \rangle A_0$, then $\text{GLP} \vdash A \leftrightarrow A_1 \text{rest}$.

Proof

$$\begin{aligned} \text{GLP} \vdash A &\rightarrow A_1 \wedge \alpha A_0 \text{rest} \\ &\rightarrow A_1 \wedge \text{rest} \\ &\rightarrow A_1 \text{rest}. \end{aligned}$$

$$A_1 \text{rest} \rightarrow A_1 \text{rest} \wedge \langle \alpha + 1 \rangle A_0$$

Other direction:

Lemma

Let $A := A_1 \alpha A_0 \text{rest}$ with ($\text{rest} = \epsilon$ or $\text{rest} = \alpha A'$) and each of A_1, A_0 in $\text{Worm}_{\alpha+1}$.

If $\text{GLP} \vdash A_1 \rightarrow \langle \alpha + 1 \rangle A_0$, then $\text{GLP} \vdash A \leftrightarrow A_1 \text{rest}$.

Proof

$$\begin{aligned} \text{GLP} \vdash A &\rightarrow A_1 \wedge \alpha A_0 \text{rest} \\ &\rightarrow A_1 \wedge \text{rest} \\ &\rightarrow A_1 \text{rest}. \end{aligned}$$

$$A_1 \text{rest} \rightarrow A_1 \text{rest} \wedge \langle \alpha + 1 \rangle A_0$$

Other direction: $\rightarrow A_1 \wedge \text{rest} \wedge \langle \alpha + 1 \rangle A_0$

Lemma

Let $A := A_1 \alpha A_0 \text{rest}$ with ($\text{rest} = \epsilon$ or $\text{rest} = \alpha A'$) and each of A_1, A_0 in $\text{Worm}_{\alpha+1}$.

If $\text{GLP} \vdash A_1 \rightarrow \langle \alpha + 1 \rangle A_0$, then $\text{GLP} \vdash A \leftrightarrow A_1 \text{rest}$.

Proof

$$\begin{aligned} \text{GLP} \vdash A &\rightarrow A_1 \wedge \alpha A_0 \text{rest} \\ &\rightarrow A_1 \wedge \text{rest} \\ &\rightarrow A_1 \text{rest}. \end{aligned}$$

$$\begin{aligned} A_1 \text{rest} &\rightarrow A_1 \text{rest} \wedge \langle \alpha + 1 \rangle A_0 \\ \text{Other direction:} &\rightarrow A_1 \wedge \text{rest} \wedge \langle \alpha + 1 \rangle A_0 \\ &\rightarrow A_1 \wedge \langle \alpha + 1 \rangle A_0 \text{rest} \end{aligned}$$

Lemma

Let $A := A_1 \alpha A_0 \text{rest}$ with ($\text{rest} = \epsilon$ or $\text{rest} = \alpha A'$) and each of A_1, A_0 in $\text{Worm}_{\alpha+1}$.

If $\text{GLP} \vdash A_1 \rightarrow \langle \alpha + 1 \rangle A_0$, then $\text{GLP} \vdash A \leftrightarrow A_1 \text{rest}$.

Proof

$$\begin{aligned} \text{GLP} \vdash A &\rightarrow A_1 \wedge \alpha A_0 \text{rest} \\ &\rightarrow A_1 \wedge \text{rest} \\ &\rightarrow A_1 \text{rest}. \end{aligned}$$

$$\begin{aligned} A_1 \text{rest} &\rightarrow A_1 \text{rest} \wedge \langle \alpha + 1 \rangle A_0 \\ \text{Other direction:} &\rightarrow A_1 \wedge \text{rest} \wedge \langle \alpha + 1 \rangle A_0 \\ &\rightarrow A_1 \wedge \langle \alpha + 1 \rangle A_0 \text{rest} \\ &\rightarrow A_1 \wedge \langle \alpha \rangle A_0 \text{rest} \end{aligned}$$

Lemma

Let $A := A_1 \alpha A_0 \text{rest}$ with ($\text{rest} = \epsilon$ or $\text{rest} = \alpha A'$) and each of A_1, A_0 in $\text{Worm}_{\alpha+1}$.

If $\text{GLP} \vdash A_1 \rightarrow \langle \alpha + 1 \rangle A_0$, then $\text{GLP} \vdash A \leftrightarrow A_1 \text{rest}$.

Proof

$$\begin{aligned} \text{GLP} \vdash A &\rightarrow A_1 \wedge \alpha A_0 \text{rest} \\ &\rightarrow A_1 \wedge \text{rest} \\ &\rightarrow A_1 \text{rest}. \end{aligned}$$

$$\begin{aligned} A_1 \text{rest} &\rightarrow A_1 \text{rest} \wedge \langle \alpha + 1 \rangle A_0 \\ \text{Other direction:} &\rightarrow A_1 \wedge \text{rest} \wedge \langle \alpha + 1 \rangle A_0 \\ &\rightarrow A_1 \wedge \langle \alpha + 1 \rangle A_0 \text{rest} \\ &\rightarrow A_1 \wedge \langle \alpha \rangle A_0 \text{rest} \\ &\rightarrow A_1 \alpha A_0 \text{rest}. \end{aligned}$$

Lemma

Let $A := A_1 \alpha A_0 \text{rest}$ with ($\text{rest} = \epsilon$ or $\text{rest} = \alpha A'$) and each of A_1, A_0 in $\text{Worm}_{\alpha+1}$.

If $\text{GLP} \vdash A_1 \rightarrow \langle \alpha + 1 \rangle A_0$, then $\text{GLP} \vdash A \leftrightarrow A_1 \text{rest}$.

Lemma

Let $A := A_1 \alpha A_0 \text{rest}$ with ($\text{rest} = \epsilon$ or $\text{rest} = \alpha A'$) and each of A_1, A_0 in $\text{Worm}_{\alpha+1}$.

If $\text{GLP} \vdash A_1 \rightarrow \langle \alpha + 1 \rangle A_0$, then $\text{GLP} \vdash A \leftrightarrow A_1 \text{rest}$.

Corollary

Each worm in Worm_{α} is equivalent to one in Worm_{α} in BNF

Lemma

Let $A := A_1 \alpha A_0 \text{rest}$ with ($\text{rest} = \epsilon$ or $\text{rest} = \alpha A'$) and each of A_1, A_0 in $\text{Worm}_{\alpha+1}$.

If $\text{GLP} \vdash A_1 \rightarrow \langle \alpha + 1 \rangle A_0$, then $\text{GLP} \vdash A \leftrightarrow A_1 \text{rest}$.

Corollary

Each worm in Worm_{α} is equivalent to one in Worm_{α} in BNF

Proof.

Apply (inductively) the lemma to ‘subworms in violating order’ \square

- ▶ BNFs are very similar to CNFs but possess a richer structure

- ▶ BNFs are very similar to CNFs but possess a richer structure
- ▶ We read BNFs from right to left instead

- ▶ BNFs are very similar to CNFs but possess a richer structure
- ▶ We read BNFs from right to left instead
- ▶ **Lemma:** Let $A = A_k \alpha \dots A_1 \alpha A'$ be in BNF with $\alpha = \min(A)$, and each $A_i \in \text{Worm}_{\alpha+1}$. Moreover, let B be in BNF. We have that

$$A' <_{\alpha+1} B, \text{ then } A <_{\alpha+1} B.$$

- ▶ **Theorem** $<_0$ is well founded on Worm

- ▶ **Theorem** $<_0$ is well founded on Worm
- ▶ **Proof:** consider BNFs instead of Worm

- ▶ **Theorem** $<_0$ is well founded on Worm
- ▶ **Proof:** consider BNFs instead of Worm
- ▶ Suppose $C_0 >_0 C_1 >_0 \dots$ is an infinite descending sequence

- ▶ **Theorem** $<_0$ is well founded on Worm
- ▶ **Proof:** consider BNFs instead of Worm
- ▶ Suppose $C_0 >_0 C_1 >_0 \dots$ is an infinite descending sequence
- ▶ We may assume it is a minimal one:

- ▶ **Theorem** $<_0$ is well founded on Worm
- ▶ **Proof:** consider BNFs instead of Worm
- ▶ Suppose $C_0 >_0 C_1 >_0 \dots$ is an infinite descending sequence
- ▶ We may assume it is a minimal one:
- ▶ each C_k has minimal length within the set of infinite descending sequences starting with C_0, \dots, C_k

- ▶ **Theorem** $<_0$ is well founded on Worm
- ▶ **Proof:** consider BNFs instead of Worm
- ▶ Suppose $C_0 >_0 C_1 >_0 \dots$ is an infinite descending sequence
- ▶ We may assume it is a minimal one:
- ▶ each C_k has minimal length within the set of infinite descending sequences starting with C_0, \dots, C_k
- ▶ We may assume 0 is in some of the C_i

- ▶ **Theorem** $<_0$ is well founded on Worm
- ▶ **Proof:** consider BNFs instead of Worm
- ▶ Suppose $C_0 >_0 C_1 >_0 \dots$ is an infinite descending sequence
- ▶ We may assume it is a minimal one:
- ▶ each C_k has minimal length within the set of infinite descending sequences starting with C_0, \dots, C_k
- ▶ We may assume 0 is in some of the C_i
An infinite $<_0$ descending sequence $D_0 >_0 D_1 > \dots$ yields an infinite sequence $\alpha \uparrow D_0 >_0 \alpha \uparrow D_1 > \dots$

- ▶ **Theorem** $<_0$ is well founded on Worm
- ▶ **Proof:** consider BNFs instead of Worm
- ▶ Suppose $C_0 >_0 C_1 >_0 \dots$ is an infinite descending sequence
- ▶ We may assume it is a minimal one:
- ▶ each C_k has minimal length within the set of infinite descending sequences starting with C_0, \dots, C_k
- ▶ We may assume 0 is in some of the C_i
An infinite $<_0$ descending sequence $D_0 >_0 D_1 > \dots$ yields an infinite sequence $\alpha \uparrow D_0 >_0 \alpha \uparrow D_1 > \dots$
- ▶ By $\alpha \uparrow D$ we denote the result of replacing each β in D by $\alpha + \beta$

- ▶ **Theorem** $<_0$ is well founded on Worm
- ▶ **Proof:** consider BNFs instead of Worm
- ▶ Suppose $C_0 >_0 C_1 >_0 \dots$ is an infinite descending sequence
- ▶ We may assume it is a minimal one:
- ▶ each C_k has minimal length within the set of infinite descending sequences starting with C_0, \dots, C_k
- ▶ We may assume 0 is in some of the C_i
An infinite $<_0$ descending sequence $D_0 >_0 D_1 > \dots$ yields an infinite sequence $\alpha \uparrow D_0 >_0 \alpha \uparrow D_1 > \dots$
- ▶ By $\alpha \uparrow D$ we denote the result of replacing each β in D by $\alpha + \beta$
- ▶ Let n be the first number so that 0 is in C_n

- ▶ In our alleged infinite descending sequence $C_0 >_0 C_1 >_0 \dots$,

- ▶ In our alleged infinite descending sequence $C_0 >_0 C_1 >_0 \dots$,
- ▶ write each C_i as $A_i 0 B_i$ with $B_i \in \text{Worm}_1$, and the $A_i 0$ part possibly empty

- ▶ In our alleged infinite descending sequence $C_0 >_0 C_1 >_0 \dots$,
- ▶ write each C_i as $A_i 0 B_i$ with $B_i \in \text{Worm}_1$, and the $A_i 0$ part possibly empty
- ▶ Clearly, we have $B_n \geq_0 B_{n+1} \geq_0 \dots$

- ▶ In our alleged infinite descending sequence $C_0 >_0 C_1 >_0 \dots$,
- ▶ write each C_i as $A_i \circ B_i$ with $B_i \in \text{Worm}_1$, and the $A_i \circ$ part possibly empty
- ▶ Clearly, we have $B_n \geq_0 B_{n+1} \geq_0 \dots$
- ▶ If $B_n \geq_0 B_{n+1} \geq_0 \dots$ has an infinite descending subsequence $B_n >_0 B_{n_1} >_0 B_{n_2} >_0 \dots$ this contradicts minimality via

$$C_0 >_0 C_1 >_0 \dots >_0 B_n >_0 B_{n_1} >_0 B_{n_2} >_0 \dots$$

- ▶ In our alleged infinite descending sequence $C_0 >_0 C_1 >_0 \dots$,
- ▶ write each C_i as $A_i \circ B_i$ with $B_i \in \text{Worm}_1$, and the $A_i \circ$ part possibly empty
- ▶ Clearly, we have $B_n \geq_0 B_{n+1} \geq_0 \dots$
- ▶ If $B_n \geq_0 B_{n+1} \geq_0 \dots$ has an infinite descending subsequence $B_n >_0 B_{n_1} >_0 B_{n_2} >_0 \dots$ this contradicts minimality via

$$C_0 >_0 C_1 >_0 \dots >_0 B_n >_0 B_{n_1} >_0 B_{n_2} >_0 \dots$$

- ▶ If the chain remains stable at some $k + 1$, then

$$C_0 >_0 \dots >_0 C_k >_0 A_{k+1} >_0 A_{k+2} >_0 \dots$$

also contradicting the minimality assumption

▶ Resuming:

- ▶ Resuming:
- ▶ On BNF, the ordering $<_0$ is transitive,

- ▶ Resuming:
- ▶ On BNF, the ordering $<_0$ is transitive,
- ▶ total

- ▶ Resuming:
- ▶ On BNF, the ordering $<_0$ is transitive,
- ▶ total
- ▶ well-founded

- ▶ Resuming:
- ▶ On BNF, the ordering $<_0$ is transitive,
- ▶ total
- ▶ well-founded
- ▶ Thus, $\langle \text{BNF}, <_0 \rangle$ is a well-ordered class

- ▶ Resuming:
- ▶ On BNF, the ordering $<_0$ is transitive,
- ▶ total
- ▶ well-founded
- ▶ Thus, $\langle \text{BNF}, <_0 \rangle$ is a well-ordered class
- ▶ whence isomorphic to the ordinals

- ▶ Resuming:
- ▶ On BNF, the ordering $<_0$ is transitive,
- ▶ total
- ▶ well-founded
- ▶ Thus, $\langle \text{BNF}, <_0 \rangle$ is a well-ordered class
- ▶ whence isomorphic to the ordinals
- ▶ providing an alternative notation system

- ▶ Resuming:
 - ▶ On BNF, the ordering $<_0$ is transitive,
 - ▶ total
 - ▶ well-founded
 - ▶ Thus, $\langle \text{BNF}, <_0 \rangle$ is a well-ordered class
 - ▶ whence isomorphic to the ordinals
 - ▶ providing an alternative notation system
-
- ▶ Before we can expose models for the closed fragment, we need a bit more facts about ordinals

- ▶ On ordering $\langle W, \prec \rangle$ is a well-order if
 - ▶ \prec is transitive: $x \prec y$ and $y \prec z$ implies $x \prec z$
 - ▶ \prec is linear: $x = y$ or $x \prec y$ or $y \prec x$
 - ▶ \prec is well-founded on X : no infinite descending chain
 $\dots x_3 \prec x_2 \prec x_1 \prec x_0$ within X
under the axiom of choice equivalent to "each non-empty subset of X has a \prec -minimal element"
- ▶ Two order-types $\langle W_1, \prec_1 \rangle$ and $\langle W_2, \prec_2 \rangle$ are (order) isomorphic whenever there is a bijection $f : W_1 \rightarrow W_2$ with

$$x \prec_1 y \iff f(x) \prec_2 f(y).$$

- ▶ Ordinals can be seen as equivalence classes of well-orders under order isomorphisms

- ▶ Von Neumann's ordinals:

- ▶ Von Neumann's ordinals:
 - ▶ $0 = \emptyset$

▶ Von Neumann's ordinals:

- ▶ $0 = \emptyset$
- ▶ $1 = \{\emptyset\}$

▶ Von Neumann's ordinals:

- ▶ $0 = \emptyset$
- ▶ $1 = \{\emptyset\}$
- ▶ $2 = \{\emptyset, \{\emptyset\}\}$

▶ Von Neumann's ordinals:

- ▶ $0 = \emptyset$
- ▶ $1 = \{\emptyset\}$
- ▶ $2 = \{\emptyset, \{\emptyset\}\}$
- ⋮

▶ Von Neumann's ordinals:

▶ $0 = \emptyset$

▶ $1 = \{\emptyset\}$

▶ $2 = \{\emptyset, \{\emptyset\}\}$

⋮

▶ In general: $\alpha + 1 := \alpha \cup \{\alpha\}$

- ▶ Von Neumann's ordinals:
 - ▶ $0 = \emptyset$
 - ▶ $1 = \{\emptyset\}$
 - ▶ $2 = \{\emptyset, \{\emptyset\}\}$
 - ▶ \vdots
 - ▶ In general: $\alpha + 1 := \alpha \cup \{\alpha\}$
- ▶ We call a set X transitive if every element of X is also a subset of X

- ▶ Von Neumann's ordinals:
 - ▶ $0 = \emptyset$
 - ▶ $1 = \{\emptyset\}$
 - ▶ $2 = \{\emptyset, \{\emptyset\}\}$
 - ▶ \vdots
 - ▶ In general: $\alpha + 1 := \alpha \cup \{\alpha\}$
- ▶ We call a set X transitive if every element of X is also a subset of X
- ▶ A set β is a Von Neumann ordinal iff β is transitive and well-ordered by \in

- ▶ Von Neumann's ordinals:
 - ▶ $0 = \emptyset$
 - ▶ $1 = \{\emptyset\}$
 - ▶ $2 = \{\emptyset, \{\emptyset\}\}$
 - ▶ \vdots
 - ▶ In general: $\alpha + 1 := \alpha \cup \{\alpha\}$
- ▶ We call a set X transitive if every element of X is also a subset of X
- ▶ A set β is a Von Neumann ordinal iff β is transitive and well-ordered by \in
- ▶ If β is neither 0 or of the form $\beta' + 1$ it is called a *limit* ordinal

- ▶ Von Neumann's ordinals:
 - ▶ $0 = \emptyset$
 - ▶ $1 = \{\emptyset\}$
 - ▶ $2 = \{\emptyset, \{\emptyset\}\}$
 - ▶ \vdots
 - ▶ In general: $\alpha + 1 := \alpha \cup \{\alpha\}$
- ▶ We call a set X transitive if every element of X is also a subset of X
- ▶ A set β is a Von Neumann ordinal iff β is transitive and well-ordered by \in
- ▶ If β is neither 0 or of the form $\beta' + 1$ it is called a *limit* ordinal
- ▶ We denote the class of all ordinals by On

- ▶ Von Neumann's ordinals:
 - ▶ $0 = \emptyset$
 - ▶ $1 = \{\emptyset\}$
 - ▶ $2 = \{\emptyset, \{\emptyset\}\}$
 - ▶ \vdots
 - ▶ In general: $\alpha + 1 := \alpha \cup \{\alpha\}$
- ▶ We call a set X transitive if every element of X is also a subset of X
- ▶ A set β is a Von Neumann ordinal iff β is transitive and well-ordered by \in
- ▶ If β is neither 0 or of the form $\beta' + 1$ it is called a *limit* ordinal
- ▶ We denote the class of all ordinals by On
- ▶ We denote the class of limit ordinals by Lim

- ▶ Transfinite induction:

$$\text{ZFC} \vdash \forall \beta \in \text{On} (\forall \alpha < \beta \Psi(\alpha) \rightarrow \Psi(\beta)) \rightarrow \forall \beta \in \text{On} \Psi(\beta)$$

- ▶ Transfinite induction:

$$\text{ZFC} \vdash \forall \beta \in \text{On} (\forall \alpha < \beta \Psi(\alpha) \rightarrow \Psi(\beta)) \rightarrow \forall \beta \in \text{On} \Psi(\beta)$$

- ▶ This allows us to define functions along the ordinals

- ▶ Transfinite induction:

$$\text{ZFC} \vdash \forall \beta \in \text{On} (\forall \alpha < \beta \Psi(\alpha) \rightarrow \Psi(\beta)) \rightarrow \forall \beta \in \text{On} \Psi(\beta)$$

- ▶ This allows us to define functions along the ordinals
- ▶ Addition

- ▶ Transfinite induction:

$$\text{ZFC} \vdash \forall \beta \in \text{On} (\forall \alpha < \beta \Psi(\alpha) \rightarrow \Psi(\beta)) \rightarrow \forall \beta \in \text{On} \Psi(\beta)$$

- ▶ This allows us to define functions along the ordinals
- ▶ Addition
 - ▶ $\alpha + 0 = \alpha$;

- ▶ Transfinite induction:

$$\text{ZFC} \vdash \forall \beta \in \text{On} (\forall \alpha < \beta \Psi(\alpha) \rightarrow \Psi(\beta)) \rightarrow \forall \beta \in \text{On} \Psi(\beta)$$

- ▶ This allows us to define functions along the ordinals
- ▶ Addition
 - ▶ $\alpha + 0 = \alpha$;
 - ▶ $\alpha + (\beta + 1) = (\alpha + \beta) + 1$;

- ▶ Transfinite induction:

$$\text{ZFC} \vdash \forall \beta \in \text{On} (\forall \alpha < \beta \Psi(\alpha) \rightarrow \Psi(\beta)) \rightarrow \forall \beta \in \text{On} \Psi(\beta)$$

- ▶ This allows us to define functions along the ordinals
- ▶ Addition
 - ▶ $\alpha + 0 = \alpha$;
 - ▶ $\alpha + (\beta + 1) = (\alpha + \beta) + 1$;
 - ▶ $\alpha + \lambda = \bigcup_{\beta < \lambda} (\alpha + \beta)$ for $\lambda \in \text{Lim}$

- ▶ Transfinite induction:

$$\text{ZFC} \vdash \forall \beta \in \text{On} (\forall \alpha < \beta \Psi(\alpha) \rightarrow \Psi(\beta)) \rightarrow \forall \beta \in \text{On} \Psi(\beta)$$

- ▶ This allows us to define functions along the ordinals
- ▶ Addition
 - ▶ $\alpha + 0 = \alpha$;
 - ▶ $\alpha + (\beta + 1) = (\alpha + \beta) + 1$;
 - ▶ $\alpha + \lambda = \bigcup_{\beta < \lambda} (\alpha + \beta)$ for $\lambda \in \text{Lim}$
- ▶ With these kind of definitions we can now prove statements like $1 + \omega \neq \omega + 1$

► Multiplication

- ▶ **Multiplication**
 - ▶ $\alpha \times 0 = 0$;

► Multiplication

- $\alpha \times 0 = 0$;
- $\alpha \times (\beta + 1) = (\alpha \times \beta) + \alpha$;

► **Multiplication**

- $\alpha \times 0 = 0$;
- $\alpha \times (\beta + 1) = (\alpha \times \beta) + \alpha$;
- $\alpha \times \lambda = \cup_{\beta < \lambda} (\alpha \times \beta)$ for $\lambda \in \text{Lim}$

► Multiplication

- $\alpha \times 0 = 0$;
- $\alpha \times (\beta + 1) = (\alpha \times \beta) + \alpha$;
- $\alpha \times \lambda = \bigcup_{\beta < \lambda} (\alpha \times \beta)$ for $\lambda \in \text{Lim}$

► Exponentiation

▶ Multiplication

- ▶ $\alpha \times 0 = 0$;
- ▶ $\alpha \times (\beta + 1) = (\alpha \times \beta) + \alpha$;
- ▶ $\alpha \times \lambda = \cup_{\beta < \lambda} (\alpha \times \beta)$ for $\lambda \in \text{Lim}$

▶ Exponentiation

- ▶ $\alpha^0 = 1$;

▶ Multiplication

- ▶ $\alpha \times 0 = 0$;
- ▶ $\alpha \times (\beta + 1) = (\alpha \times \beta) + \alpha$;
- ▶ $\alpha \times \lambda = \bigcup_{\beta < \lambda} (\alpha \times \beta)$ for $\lambda \in \text{Lim}$

▶ Exponentiation

- ▶ $\alpha^0 = 1$;
- ▶ $\alpha^{(\beta+1)} = \alpha^\beta \times \alpha$;

► Multiplication

- $\alpha \times 0 = 0$;
- $\alpha \times (\beta + 1) = (\alpha \times \beta) + \alpha$;
- $\alpha \times \lambda = \bigcup_{\beta < \lambda} (\alpha \times \beta)$ for $\lambda \in \text{Lim}$

► Exponentiation

- $\alpha^0 = 1$;
- $\alpha^{(\beta+1)} = \alpha^\beta \times \alpha$;
- $\alpha^\lambda = \bigcup_{\beta < \lambda} (\alpha^\beta)$ for $\lambda \in \text{Lim}$

▶ Multiplication

- ▶ $\alpha \times 0 = 0$;
- ▶ $\alpha \times (\beta + 1) = (\alpha \times \beta) + \alpha$;
- ▶ $\alpha \times \lambda = \cup_{\beta < \lambda} (\alpha \times \beta)$ for $\lambda \in \text{Lim}$

▶ Exponentiation

- ▶ $\alpha^0 = 1$;
- ▶ $\alpha^{(\beta+1)} = \alpha^\beta \times \alpha$;
- ▶ $\alpha^\lambda = \cup_{\beta < \lambda} (\alpha^\beta)$ for $\lambda \in \text{Lim}$

- ▶ Many useful properties can now be proven and all coincide with the pictures we drew

- **Theorem [Cantor]:** Each ordinal α can be *uniquely* written as

$$\alpha := \omega^{\alpha_1} + \dots + \omega^{\alpha_n}$$

where $\alpha_1 \geq \dots \geq \alpha_n$.

- ▶ **Theorem [Cantor]:** Each ordinal α can be *uniquely* written as

$$\alpha := \omega^{\alpha_1} + \dots + \omega^{\alpha_n}$$

where $\alpha_1 \geq \dots \geq \alpha_n$.

- ▶ Note that 0 is denoted by the empty sum!

- ▶ **Theorem [Cantor]:** Each ordinal α can be *uniquely* written as

$$\alpha := \omega^{\alpha_1} + \dots + \omega^{\alpha_n}$$

where $\alpha_1 \geq \dots \geq \alpha_n$.

- ▶ Note that 0 is denoted by the empty sum!
- ▶ Uniqueness is very useful

- ▶ **Theorem [Cantor]:** Each ordinal α can be *uniquely* written as

$$\alpha := \omega^{\alpha_1} + \dots + \omega^{\alpha_n}$$

where $\alpha_1 \geq \dots \geq \alpha_n$.

- ▶ Note that 0 is denoted by the empty sum!
- ▶ Uniqueness is very useful
- ▶ And also the fact that we only need finitely many α_i 's

- ▶ **Theorem [Cantor]:** Each ordinal α can be *uniquely* written as

$$\alpha := \omega^{\alpha_1} + \dots + \omega^{\alpha_n}$$

where $\alpha_1 \geq \dots \geq \alpha_n$.

- ▶ Note that 0 is denoted by the empty sum!
- ▶ Uniqueness is very useful
- ▶ And also the fact that we only need finitely many α_i 's
- ▶ Let us write $\varepsilon_0 := \cup\{\omega, \omega^\omega, \omega^{\omega^\omega}, \dots\}$

- ▶ **Theorem [Cantor]:** Each ordinal α can be *uniquely* written as

$$\alpha := \omega^{\alpha_1} + \dots + \omega^{\alpha_n}$$

where $\alpha_1 \geq \dots \geq \alpha_n$.

- ▶ Note that 0 is denoted by the empty sum!
- ▶ Uniqueness is very useful
- ▶ And also the fact that we only need finitely many α_i 's
- ▶ Let us write $\varepsilon_0 := \cup\{\omega, \omega^\omega, \omega^{\omega^\omega}, \dots\}$
- ▶ Clearly, the CNF of ε_0 is not too informative as

$$\varepsilon_0 = \omega^{\varepsilon_0}$$